



RECEIVED  
MAY 4 - 2001  
Technology Center 2600

PATENT  
Attorney Docket No. 348

2  
5/17

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: James E. DeGrange, Jr., et al. )  
Serial No.: 09/777,774 ) Group Art Unit: Unassigned  
Filed: February 6, 2001 ) Examiner: Unassigned  
For: POWER BALANCED OPTICAL ADD/ )  
DROP MULTIPLEXER AND )  
BALANCING METHODS THEREFORE )

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir/Madam:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. To the knowledge of the undersigned, this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits in the above-referenced application.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.


This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies this documents as prior art against any claim in the application and Applicants determine that the cited documents does not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of the documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents should the document be applied against the claims of the present application.

Respectfully submitted,

Dated: March 21, 2001

By:

  
Michael R. Cammarata  
Reg. No. 39,491

*CIENA Corporation*  
Legal Department  
1201 Winterson Road  
Linthicum, MD 21090  
Tel.: (410) 865-8500  
Fax: (410) 865-8001